

# Jesse A. Ellis

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## ACADEMIC EXPERIENCE

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North Carolina State University	
-Alan T. Dickson Distinguished Professor of Finance	2020 – present
-Associate Professor of Finance	2016 – 2020
-Assistant Professor of Finance	2013 – 2016
University of Alabama	
-Assistant Professor of Finance	2011 – 2013

## EDUCATION

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University of Pittsburgh (Ph.D. in Finance)	2011
Millersville University (B.A. in Economics)	2003

## PUBLICATIONS

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- How Does Forced CEO Turnover Experience Affect Directors? (with Lixiong Guo and Shawn Mobbs) 2021, *Journal of Financial and Quantitative Analysis* 56, 1163-1191.
- Funding Liquidity Risk and the Dynamics of Hedge Fund Lockups (with Adam Aiken, Christopher Clifford, and Qiping Huang) 2021, *Journal of Financial and Quantitative Analysis* 56, 1321-1349.
- Corruption and Corporate Innovation (with Jared Smith and Roger White) 2020, *Journal of Financial and Quantitative Analysis* 55, 2124-2149.
- The Causal Effects of Proximity on Investment: Evidence from Flight Introductions (with Leonardo Madureira and Shane Underwood) 2020, *Journal of Financial and Quantitative Analysis* 55, 1978-2004.
- Do Politicians Put their Money Where their Mouth is? Ideology and Portfolio Choice. (with Adam Aiken and Minjeong Kang) 2020, *Management Science* 66, 376-396.
- Hedge Fund Boards and the Market for Independent Directors (with Christopher Clifford and Will Gerken) 2018, *Journal of Financial and Quantitative Analysis* 53, 2067-2101.

Playing Favorites? Industry Expert Directors in Diversified Firms (with C. Edward Fee and Shawn Thomas) 2018, *Journal of Financial and Quantitative Analysis* 53, 1679-1714.

The Only Fund in Town? Geographic Segmentation in the US Mutual Fund Industry (with Shane Underwood) 2018, *Financial Management* 47, 715-737.

Portable Country Governance and Cross-Border Acquisitions (with Sara Moeller, Frederik Schlingemann, and René Stulz) 2017, *Journal of International Business Studies* 48, 148-173.

The Value of Funds of Hedge Funds: Evidence from Their Holdings (with Adam Aiken and Christopher Clifford) 2015, *Management Science* 61, 2415-2429.

Hedge Funds and Discretionary Liquidity Restrictions (with Adam Aiken and Christopher Clifford), 2015, *Journal of Financial Economics* 116, 197-218.

Out of the Dark: Hedge Fund Reporting Biases and Commercial Databases (with Adam Aiken and Christopher Clifford), 2013, *Review of Financial Studies* 26, 208-243.  
-Abstracted in CFA Digest, February 2013, Vol. 43, No. 1

Proprietary Costs and the Disclosure of Information about Customers (with C. Edward Fee and Shawn Thomas), 2012, *Journal of Accounting Research* 50, 685-727.

## **WORKING PAPERS**

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On the Road to Better Governance: Private Meetings and Mutual Fund Voting (with Russel Jame and Will Gerken)

Childhood Exposure to Misbehavior and the Culture of Financial Misconduct (with Chris Clifford and Will Gerken)

Can Better Tax Enforcement Moderate AirBnB's Pressure on Housing Costs? (With Roger White, Jared Smith, and David Kenchington)

Are Turnaround Specialists Special? An Examination of CEO Reputation and CEO Succession

## **INVITED CONFERENCE PRESENTATIONS**

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*Includes presentations by co-authors*

Northern Finance Association (NFA) 2022

CICF 2022

Western Finance Association (WFA) 2022

European Finance Association (EFA) 2020

Northern Finance Association (NFA) 2020

Frontiers in Finance, Alberta, Canada	2019
Western Finance Association (WFA), Huntington Beach, CA	2019
Smokey Mountain Finance Conference, Townsend, TN	2019
FRA Early Ideas Session, Las Vegas, NV	2018
Financial Management Association (FMA), San Diego, CA	2018
Financial Management Association (FMA), Boston, MA	2017
Northern Finance Association (NFA), Halifax, Canada	2017
European Finance Association (EFA), Mannheim, Germany	2017
Financial Intermediation Research Society (FIRS), Hong Kong	2017
Financial Management Association (FMA), Las Vegas, NV	2016
China International Conference in Finance (CICF), Xiamen, China	2016
Financial Intermediation Research Society (FIRS), Lisbon, Portugal	2016
FMA Asia Pacific Conference, Sydney, Australia	2016
Financial Risks International Forum, Paris, France	2016
Paris Hedge Fund Conference, Paris, France	2016
IFABS Oxford Corporate Finance Conference, Oxford, United Kingdom	2015
European Finance Association (EFA), Vienna, Austria	2015
Financial Research Network, Alberta, Canada	2014
Financial Management Association (FMA), Nashville, TN	2014
Financial Market Symposium: Hedge Funds and Regulation, Albany, NY	2014
Recent Advances in Mutual Fund and Hedge Fund Research, Berlin, Germany	2014
7 <sup>th</sup> Professional Asset Management Conference, Rotterdam, Netherlands	2014
Financial Intermediation Research Society (FIRS), Quebec City, Canada	2014
Mid-Atlantic Research Conference, Villanova, PA	2014
Jim and Jack Conference, University of Kentucky	2014
Financial Management Association (FMA), Chicago, IL	2013
Institutional Investors and Asset Management, Eugene, OR	2013
Western Finance Association (WFA), Lake Tahoe, NV	2013
Financial Risks International Forum, Paris, France	2013
Mid-Atlantic Research Conference, Villanova, PA	2013
ASU Sonoran Winter Conference, Tempe, AZ	2013
Financial Management Association Europe, Luxembourg City, Luxembourg	2013
Allied Social Science Association (ASSA), Chicago, IL	2012
Financial Management Association (FMA), Atlanta, GA	2012
Financial Management Association Europe, Istanbul, Turkey	2012
Financial Management Association (FMA), New York, NY	2010
Financial Intermediation Research Society (FIRS), Florence, Italy	2010

## **MEDIA MENTIONS**

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<i>Forbes.com</i>	July 2019
<i>CFO.com</i>	October 2017
<i>FundFire (a Financial Times Magazine)</i>	February 2016
<i>Financial Times</i>	August 2013
<i>Institutional Investor's Alpha Magazine</i>	August 2013
<i>The Economist</i>	January 2012
<i>Reuters</i>	January 2012

*Financial Times*  
*Business Insider*

December 2011  
December 2011

## **TEACHING**

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NC State University

- Investments and Portfolio Management (Undergraduate) 2013-2023
- Equity Valuation (MBA) 2013-2023
- Managerial Finance (MBA) 2016

University of Alabama

- Investments (Undergraduate) 2012 - 2013

University of Pittsburgh

- Capital Markets (Undergraduate) 2011
- Financial Modeling (Undergraduate) 2009 - 2010

## **AWARDS**

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Poole College-Wide Award for Research Leadership	2020
University Faculty Scholar	2019
Business Management Dept. Award for Teaching Excellence	2019
Poole Advisory Board Scholar Award	2017-2019
Business Management Dept. Award for Research Leadership	2017
Gill Grant, Poole College of Management	2017
SFA Conference Best Paper Award	2016
Business Sustainability Collaborative Research Grant	2016
Poole College-Wide Award for Research Growth and Innovation	2015
Business Management Dept. Award for Research Growth and Innovation	2015
Mid-Atlantic Research Conference Best Paper Award	2014
Award for Best Paper in Investments, Financial Management Association	2013
University of Pittsburgh CBA Best Teaching in Finance Major Award	2010-2011
University of Pittsburgh Outstanding PhD Teacher Award	2010-2011

## **PROFESSIONAL SERVICE**

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*Ad-hoc Reviewer:* The Journal of Finance, Review of Financial Studies, Management Science, Journal of Financial and Quantitative Analysis, Journal of Corporate Finance, Journal of Banking and Finance, Financial Review, and Pacific-Basin Finance Journal

*Program Committee Member:* University of Kentucky Finance Conference (2015, 2016, 2017, 2018, 2019, 2022, 2023), FIRN (2015, 2016, 2017, 2018), MFA (2013, 2015, 2016, 2017, 2018, 2019), FMA (2012, 2014, 2018, 2019, 2021, 2022, 2023), EFA (2017), CFEA Conference at Tulane (2018)

*Track Chair:* SFA (2017)

